



NC DEPARTMENT OF
**HEALTH AND
HUMAN SERVICES**

ROY COOPER • Governor

MANDY COHEN, MD, MPH • Secretary

MARK PAYNE • Director, Division of Health Service Regulation

March 16, 2020

Catharine W. Cummer, Regulatory Counsel, Strategic Planning
Duke University Health System
3100 Tower Blvd, Suite 1300
Durham NC 27707

Exempt from Review

Record #: 3243
Facility Name: Duke Raleigh Hospital
FID #: 923421
Business Name: Duke University Health System, Inc.
Business #: 640
Project Description: New air handling unit, chiller, duct work, plumbing, electrical and fire alarm enhancements to MOB 9 on the hospital campus
County: Wake

Dear Ms. Cummer:

The Healthcare Planning and Certificate of Need Section, Division of Health Service Regulation (Agency), determined that based on your letter of March 9, 2020, the above referenced proposal is exempt from certificate of need review in accordance with N.C. Gen. Stat. §131E-184(a)(4). Therefore, you may proceed to offer, develop or establish the above referenced project without a certificate of need.

However, you need to contact the Agency's Construction and Acute and Home Care Licensure and Certification Sections to determine if they have any requirements for development of the proposed project.

It should be noted that this determination is binding only for the facts represented by you. Consequently, if changes are made in the project or in the facts provided in your correspondence referenced above, a new determination as to whether a certificate of need is required would need to be made by the Agency. Changes in a project include, but are not limited to: (1) increases in the capital cost; (2) acquisition of medical equipment not included in the original cost estimate; (3) modifications in the design of the project; (4) change in location; and (5) any increase in the number of square feet to be constructed.

NC DEPARTMENT OF HEALTH AND HUMAN SERVICES • DIVISION OF HEALTH SERVICE REGULATION

HEALTHCARE PLANNING AND CERTIFICATE OF NEED SECTION

LOCATION: 809 Ruggles Drive, Edgerton Building, Raleigh, NC 27603

MAILING ADDRESS: 809 Ruggles Drive, 2704 Mail Service Center, Raleigh, NC 27699-2704

<https://info.ncdhhs.gov/dhsr/> • TEL: 919-855-3873

AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER

If you have any questions concerning this matter, please feel free to contact this office.

Sincerely,



Michael J. McKillip
Project Analyst



Martha J. Frisone
Chief

cc: Construction Section, DHSR
Acute and Home Care Licensure and Certification Section, DHSR



Duke University Health System

Catharine W. Cummer
Regulatory Counsel, Strategic Planning

March 9, 2020

Via Electronic Mail

Ms. Martha Frisone
Mr. Michael McKillip
Certificate of Need Section
Division of Health Service Regulation
2704 Mail Service Center
Raleigh, NC 27699-2704

Re: Exemption Notice/Duke Raleigh Hospital

Dear Ms. Frisone and Mr. McKillip:

The purpose of this letter is to provide notice of a renovation project at Duke Raleigh Hospital and to request confirmation that this project is exempt from certificate of need review. Duke plans to renovate portions of Medical Office Building 9 on the hospital campus. Specifically, this project includes a new air handling unit, chiller, duct work, plumbing, electrical, and fire alarm system enhancements to bring portions of the building to ambulatory healthcare occupancy designation, at a total cost greater than \$2 million.

We understand that this project are exempt from certificate of need review pursuant to G.S. 131E-184(a)(4), which exempts projects “[t]o provide parking, heating or cooling systems, elevators, or other basic plant or mechanical improvements.”

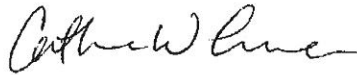
Alternatively, this project may be exempt from certificate of need review pursuant to G.S. 131E-184(g). These projects will each “renovate, replace on the same site, or expand the entirety or a portion of an existing health service facility that is located on the main campus,” defined in 131E-176(14n) as “[t]he site of the main building from which a licensed health service facility provides clinical patient services and exercises financial and administrative control over the entire facility, including the buildings and grounds adjacent to that main building” and “[o]ther areas and structures that are not strictly contiguous to the main building but are located within 250 yards of the main building.” The building from which Duke Raleigh Hospital, a licensed acute care hospital, provides clinical patient services and exercises financial and administrative control over the entire facility is the main hospital building located at 3400 Wake Forest Road, and is within 250 yards of MOB 9. (Please note that the hospital administrative suite has recently relocated to MOB 7; DRAH is in the midst of a previously approved construction project to connect MOB 7 to the remainder of the hospital facility in which the hospital’s inpatient beds are currently

Ms. Martha Frisone
Mr. Michael McKillip
March 9, 2020

operated.) These projects do not relate to any change in licensed bed capacity or the addition of any health service facility or new institutional health services other than that allowed in GS 131E-176(b).

Please let me know if you have any questions about this project. We would appreciate your confirmation that this project is exempt from further review.

Very truly yours,



Catharine W. Cummer

Waller, Martha K

From: Catharine Cummer <catharine.cummer@duke.edu>
Sent: Monday, March 9, 2020 1:52 PM
To: Mckillip, Mike; Waller, Martha K
Subject: [External] Exemption notice -- Duke Raleigh Hospital
Attachments: 20200309135335541.pdf

CAUTION: External email. Do not click links or open attachments unless you verify. Send all suspicious email as an attachment to report.spam@nc.gov<mailto:report.spam@nc.gov>

Hello,
Please see the attached and let me know if there are any questions. Thanks!

Catharine

Catharine Cummer
Regulatory Counsel, Strategic Planning
Duke University Health System
3100 Tower Blvd, Suite 1300
Durham NC 27707
(919) 668-0857 (office)
(919) 423-6928 (cell)

-----Original Message-----

From: scanner@duke.edu <scanner@duke.edu>
Sent: Monday, March 9, 2020 1:54 PM
To: Catharine Cummer <catharine.cummer@duke.edu>
Subject: Message from "LJ01-UT1367WKRM"

This E-mail was sent from "LJ01-UT1367WKRM" (MP C3003).

Scan Date: 03.09.2020 13:53:35 (-0400)
Queries to: scanner@duke.edu